

#5

FORM PTO-1449 (Modified)		Attorney Docket No.: 18357-000610US		Application No.: 09/619,520	
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)		Applicant: Brad C. Hollander		Filing Date: July 19, 2000	
		Group: 1744			

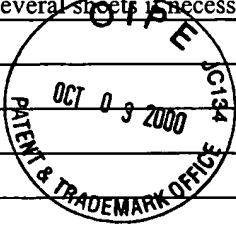
Reference Designation			U.S. PATENT DOCUMENTS			Page 1
Examiner Initial	Document No.	Date	Name	Class	Sub-class	Filing Date (If Appropriate)
LM	AA	3,899,392	08/12/75	Grossman et al.	176	68
	AB	3,979,633	09/07/76	Davis et al.	313	481
	AC	4,048,537	09/13/77	Blaisdell et al.	313	489
	AD	4,071,335	01/31/78	Barosi	55	68
	AE	4,077,899	03/07/78	van Gils	252	181.4
	AF	4,127,361	11/28/78	Hellier et al.	417	48
	AG	4,141,830	02/27/79	Last	210	63 Z
	AH	4,306,887	12/22/81	Barosi et al.	55	68
	AI	4,342,662	08/03/82	Kimura et al.	252	181.4
	AJ	4,963,750	10/16/90	Wilson	250	436
	AK	5,006,244	04/09/91	Maarschalkerweerd	210	243
	AL	5,043,626	08/27/91	Nolan	313	489
	AM	5,106,495	04/21/92	Hughes	210	139
	AN	5,118,988	06/02/92	della Porta	313	561
	AO	5,200,156	04/06/93	Wedekamp	422	186.3
	AP	5,334,347	08/02/94	Höllander	422	24
	AQ	5,441,179	08/15/95	Marsh	222	190
	AR	5,451,790	09/19/95	Enge	250	436
	AS	5,451,791	09/19/95	Mark	250	438
	AT	5,471,063	11/28/95	Hayes et al.	250	436
	AU	5,493,124	02/20/96	Shapiro	250	373
	AV	5,503,800	04/02/96	Free	422	24
	AW	5,614,151	03/25/97	LeVay et al.	422	24
LM	AX	5,817,276	10/06/98	Fencl et al.	422	24

FOREIGN PATENT DOCUMENTS						
	Document No.	Date	Country	Class	Sub-class	Translation (Yes/No)
	AY					
	AZ					
	BA					
	BB					
	BC					
	BD					

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)	
LM	BE
Light Sources, Inc. Product Innovations for the '90's; Germipak™ UV Cells Integral Germicidal Lamps and Sleeves, page 25	

FORM PTO-1449 (Modified)		Attorney Docket No.: 18357-000610US	Application No.: 09/619,520
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)		Applicant: Brad C. Hollander	
		Filing Date: July 19, 2000	Group:
<input type="checkbox"/> BF			
<input type="checkbox"/> BG			
<input type="checkbox"/> BH			
<input type="checkbox"/> BI			
EXAMINER <i>Reign Melcane</i> DATE CONSIDERED <i>4/23/03</i>			

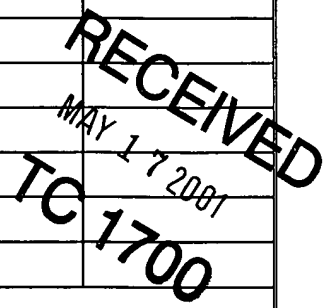
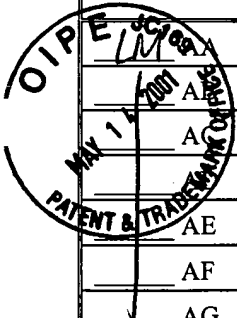
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

#7

FORM PTO-1449 (Modified)	Attorney Docket No.: 18357-000610US	Application No.: 09/619,520
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)	Applicant: Brad C. Hollander	
	Filing Date: July 19, 2000	Group: 1744

Reference Designation **U.S. PATENT DOCUMENTS** Page 1

Examiner Initial	Document No.	Date	Name	Class	Sub-class	Filing Date (If Appropriate)
<u>LM</u>	2,382,939	8/1945	Koch	240	11.4	
<u>AC</u>	3,179,792	4/1965	Weiss	240	11.4	
<u>AC</u>	4,112,485	9/1978	Sutter	362	369	
<u>AE</u>	4,804,886	2/1989	Nolan	313	489	
<u>AE</u>	4,968,489	11/1990	Peterson	422	186.3	
<u>AF</u>	5,532,549	7/1996	Duzyk et al.	313	489	
<u>AG</u>	5,753,996	5/1998	Csoknyai	313	318.05	
<u>LM</u> <u>AH</u>	6,042,720	3/2000	Reber et al.	210	85	
<u>AI</u>						
<u>AJ</u>						
<u>AK</u>						
<u>AL</u>						



**FOREIGN PATENT DOCUMENTS**

	Document No.	Date	Country	Class	Sub-class	Translation (Yes/No)
<u>AM</u>						
<u>AN</u>						
<u>AO</u>						
<u>AP</u>						
<u>AQ</u>						
<u>AR</u>						

**OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)**

<u>AS</u>	
<u>AT</u>	
<u>AU</u>	
<u>AV</u>	
<u>AW</u>	

EXAMINER <u>Leigh McKane</u>	DATE CONSIDERED <u>4/23/03</u>
------------------------------	--------------------------------

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



PTO/SB/08A (10-01)  
Approved for use through 10/31/2002. OMB 0651-0031  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE  
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet **3**

of

### Complete if Known

Application Number	09/619,520
Filing Date	July 19, 2000
First Named Inventor	Hollander, Brad C.
Art Unit	1744
Examiner Name	Not yet known
Attorney Docket Number	018357-000610US

RECEIVED  
MAR 18 2003  
GROUP 1700

### U.S. PATENT DOCUMENTS

Examiner	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number Kind Code <sup>2</sup> (if known)			
LM	AA	US-3,936,246	2/1976	Beitzel	
	AB	US-3,996,474	12/1976	Lowther	
	AC	US-4,762,613	8/1988	Snowball	
	AD	US-5,320,749	6/1994	Mullen	
	AE	US-5,635,059	6/1997	Johnson	
	AF	US-5,690,057	11/1997	Curry	
	AG	US-5,792,433	8/1998	Kadoya	
	AH	US-5,937,266	8/1999	Kadoya	
	AI	US-6,007,781	12/1999	Campbell et al.	
	AJ	US-6,020,402	2/2000	Anand et al.	
	AK	US-6,038,120	3/2000	May et al.	
	AL	US-6,090,281	7/2000	Buckner	
	AM	US-6,245,183	6/2001	Iorio	
	AN	US-			
	AO	US-			
	AP	US-			
	AQ	US-			
	AR	US-			
	AS	US-			
	AT	US-			

### FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				
	AU							<input type="checkbox"/>
	AV							<input type="checkbox"/>
	AW							<input type="checkbox"/>
	AX							<input type="checkbox"/>
	AY							<input type="checkbox"/>
	AZ							<input type="checkbox"/>
	BA							<input type="checkbox"/>
	BB							<input type="checkbox"/>

Examiner  
Signature

Leigh Mercone

Date  
Considered

4/23/03

\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Kind Codes of U.S. Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231  
DE 7097819 v1



PTO/SB/08B (10-01)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number

Substitute for form 1449B/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet

4

of

**Complete if Known**

Application Number	09/619,520
Filing Date	July 19, 2000
First Named Inventor	Hollander, Brad C.
Art Unit	1744
Examiner Name	Not yet known
Attorney Docket Number	018357-00061005

RECEIVED  
MAR 18 2003  
1700

**OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS**

Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	BC		
	BD		
	BE		
	BF		
	BG		
	BH		
	BI		
	BJ		
	BK		
	BL		
	BM		

Examiner  
SignatureDate  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

DE 7097819 v1